





COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/740,730

12/18/2000

Kayshav Dattatri

020581000130

TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834

CONFIRMATION NO. 4186 FORMALITIES LETTER *OC000000006315002*

Date Mailed: 07/19/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, doesnot include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may,however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a)accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(q)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Inder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unloss it displays a valid OMB control number

FEE TRANSMITTAL for FY 2001

TOTAL AMOUNT OF PAYMENT

Patent fees are subject to annual revision.

640.00

	Complete if Known	
Application Number	09/740,730	
Filing Date	December 18, 2000	RECEIVE
First Named Inventor	Dattatri, Kayshav	
Examiner Name		DEC 1 2 200:
Group Art Unit	2152	
Attorney Docket No.	020581-000130US	OFFICE OF PETITIONS

METHOD OF PAYMENT			FEE CALCULATION (continued)									
The Commissioner is hereby authorized to charge				3. ADDITIONAL FEES								
1.		indicat	ed fees	and credit any o	ver payments to:		Large	Entity	Small	Entity	·	
	.a						Fee	Fee	Fee	Fee	Fee Description	Fee
Deposi Accour		20-1	430				Code	(\$)	Code	(\$)	•	Paid
Numbe		20-1	700		İ		105	130	205	65	Surcharge - late filing fee or oath	
					127	50	227	25	Surcharge - late provisional filing fee or cover sheet.			
Accour		Towns	end and	Townsend and	Crew LLP	1	139	130	139	130	Non-English specification	
Account Townsend and Townsend and Crew ELI				147	2,520	147	2,520	For filing a request for reexamination				
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17				112	920*	112	920*	Requesting publication of SIR prior to Examiner action				
Applicant claims small entity status. See 37 CFR 1.27					113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
2. Payment Enclosed:				115	110	215	55	Extension for reply within first month				
□ ci	heck	☐ Cred	dit card	☐ Money	☐ Other		116	400	216	200	Extension for reply within second month	
<u> </u>				Order			117	920	217	460	Extension for reply within third month	\vdash
			FEE CA	ALCULATION			118	1,440	218	720	Extension for reply within fourth	
1. BA	ASIC FI	LING FE	Ξ								month	
Large 1	Entity	Small E	Entity				128	1,960	228	980	Extension for reply within fifth month	
				Fee Description			119	320	219	160	Notice of Appeal	
	,		(\$)		Fee Paid	, I	120	320	220	160	Filing a brief in support of an appeal	
1				Utility filing fee		↓ !	121	280	221	140	Request for oral hearing	
				Design filing fee Plant filing fee		-	138	1,510	138	1,510	Petition to institute a public use proceeding	
108	740	208	370 I	Reissue filing fee	9	1	140	110	240	55	Petition to revive - unavoidable	
114	160	214 8	80 I	Provisional filing	fee	1	141	1,280	241	640	Petition to revive – unintentional	640
						_	142	1,280	242	640	Utility issue fee (or reissue)	
		SUE	BTOTAL	_ (1)	(\$)	J	143	460	243	230	Design issue fee	
2. EXTRA	CLAIN	/ FEES				\neg	144	620	244	310	Plant issue fee	
2. EXTRA	. 00	. I LLO	f	Extra Fe	e from Fee	1	122	130	122	130	Petitions to the Commissioner	
Total Claims		-20**			ow Paid	ו ו	123	50	123	50	Petitions related to provisional applications	
Independent Claims		-3**	<u>-</u> [×	= .]	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent				×	=		581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large Fee	Entity Fee	Small Fee	Entity Fee				146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code	(\$)	Code 203	(\$)	Fee Descript		ļ	149	740	249	370	For each additional invention to be	
103 102	18	203	42	Claims in exc							examined (37 CFR § 1.129(b))	\vdash
102	.84 280	202	140	•	claims in excess of 3 ndent claim, if not paid		179	740	279	370	Request for Continued Examination (RCE)	
109	84	209	42		dependent claims over		169	900	169	900	Request for expedited examination of a design application	
110	18	210	9	** Reissue cla	ims in excess of 20 and	,	Other fe	e (specif	y)		-	
over original patent The Commissioner is authorized to charge						ed to charge any additional fees to						
SUBTOTAL (2) (\$)					the above noted Deposit Account.							
**or numbe	er previo	usly paid. if	f greater:	: For Reissues. se	e above		*Reduc	ed by Ba	sic Filin	g Fee Pa	aid SUBTOTAL (3) (\$)640	

SUBMITTED BY Complete (if applicable)									
Name (Print/Type)	Charles J. Kulas	Registration No. (Attorney/Agent)	35,809	Telephone	415-576-0200				
Signature	Chalel	Kul.		Date	12-5-01				

WARNING: Information of this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



Attorney Docket No.: 020581-000130US

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Address" service under 37 CFR 1.10 on the date indicated above and is addressed to: Attn: Box DAC, U.S. Patent and Trademark Office, Box: 2327, Arlington, VA 22202

By: Drietaylor Clough

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kayshav Dattatri

Application No.: 09/740,730

Filed: December 18, 2000

For: SYSTEM FOR MONITORING AND MANAGING INFORMATION AND INFORMATION TRANSFERS IN A COMPUTER NETWORK

U.S. Patent and Trademark Office

Box: DAC

Arlington, VA 22202

Examiner:

Art Unit:

2152

TRANSMITTAL LETTER – RESPONSE TO NOTICE OF INCOMPLETE REPLY

RECEIVED

DEC 1 2 2001

OFFICE OF PETITIONS

Sir:

Pursuant to the Notice of Incomplete Reply mailed July 19, 2001, enclosed are the following to be made of record in the above-identified application:

- 1. 56 sheets of substitute drawings
- 2. Copy of Notice of Incomplete Reply

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430.

Respectfully submitted,

Charles J. Kulas Reg. No. 35.809

Customer No. 20350

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 415-576-0200, Fax: 415 576-0300

VSF 1298006 v1